

Intersection numbers for normal functions

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1 Introduction

The purpose of this note is to simplify and generalize a formula of M. Green and P. Griffiths for 'normal functions' in complex algebraic geometry ([GG], Thm. 5.5.1). Namely, if I am given the normal functions associated to two primitive Hodge classes in $H^{2n}(W; \mathbb{Z})$ for a complex projective manifold W of (complex) dimension $2n$, how do I calculate the intersection number of the two primitive classes? It turns out that this question is entirely topological in nature and its answer has nothing to do with the complex structures of the objects involved. So we will begin by describing the notion of *topological normal function* associated to any primitive element of $H^{2n}(W; \mathbb{Z})$. Finally in Theorem 2 we give a formula for computing the intersection number of two cycles from their topological normal functions. This formula generalizes the formula of Green and Griffiths and completes and simplifies their "*Sketch of the proof*:" in the above-cited reference. The author would like to thank Mark Green and Christian Schnell for useful discussions while writing this note, and to especially thank Mirel Caibar for conversations which led to the formulation and proof of the main theorem.

2 The topological Poincaré bundle

Let W be a complex projective manifold of (complex) dimension $2n$, with polarizing (very ample) line bundle $\mathcal{O}_W(1)$. Thus there is a natural imbedding

$$\begin{aligned} W &\rightarrow \mathbb{P}(H^0(\mathcal{O}_W(1))) \\ w &\mapsto \{\lambda \in H^0(\mathcal{O}_W(1)) : \lambda(w) = 0\}. \end{aligned}$$

For the incidence divisor $I \subseteq \mathbb{P}(H^0(\mathcal{O}_W(1))^\vee) \times \mathbb{P}(H^0(\mathcal{O}_W(1)))$, define

$$X = I \cap (\mathbb{P}(H^0(\mathcal{O}_W(1))^\vee) \times W).$$

The projection

$$\chi : X \rightarrow \mathbb{P}(H^0(\mathcal{O}_W(1))^\vee) =: \mathbb{P}$$

giving the hyperplane sections of W can be restricted to a smooth morphism

$$\chi^{sm.} : X^{sm.} \rightarrow \mathbb{P}^{sm.}$$

where is the set of smooth hyperplane sections.

Let X_p denote the fiber over p . The kernel of the restriction map, $H^{2n}(W) \rightarrow H^{2n}(X_p)$ is independent of the choice of $p \in \mathbb{P}^{sm.}$ and will be denoted as $H_{prim.}^{2n}(W)$. A celebrated theorem of Deligne [D] states that the Leray spectral sequence for $\chi^{sm.}$ degenerates at E_2 . Let $H^{2n}(X^{sm.})^k$ denote the filtration of $H^{2n}(X^{sm.})$ induced by this spectral sequence, where the index k indicate the degree of the relevant cochain coming from $\mathbb{P}^{sm.}$. Thus the preimage $H^{2n}(X^{sm.}; \mathbb{Z})^1$ under the map

$$H^{2n}(W; \mathbb{Z}) \rightarrow H^{2n}(X^{sm.}; \mathbb{Z})$$

is precisely $H_{prim.}^{2n}(W; \mathbb{Z})$. We will construct the map

$$H_{prim.}^{2n}(W; \mathbb{Z}) \rightarrow \frac{H^{2n}(X^{sm.}; \mathbb{Z})^1}{H^{2n}(X^{sm.}; \mathbb{Z})^2} = H^1(\mathbb{P}^{sm.}; R^{2n-1}\chi_*^{sm.} \mathbb{Z}_{X^{sm.}}) \quad (1)$$

explicitly below, as it will be of central importance to the derivation of the formula which is the principal goal of this paper.

Let $L_X^{\mathbb{Z}} = \frac{R^{2n-1}\chi_*^{sm.} \mathbb{Z}_{X^{sm.}}}{torsion}$ and analogously define the local system $L_X^{\mathbb{Q}} = R^{2n-1}\chi_*^{sm.} \mathbb{Q}_{X^{sm.}}$ and $L_X^{\mathbb{R}} = R^{2n-1}\chi_*^{sm.} \mathbb{R}_{X^{sm.}}$. $L_X^{\mathbb{R}}$ is the system of flat sections of the C^∞ -vector bundle \mathcal{L}_X with respect to the Gauss-Manin connection. Define

$$J_X := \frac{\mathcal{L}_X}{L_X^{\mathbb{Z}}}.$$

The torus bundle J_X has a natural dual bundle

$$J_X^\vee := \frac{Hom(\mathcal{L}_X, \mathbb{R})}{Hom(L_X^{\mathbb{Z}}, \mathbb{Z})} = \frac{\mathcal{L}_X^\vee}{(L_X^{\mathbb{Z}})^\vee}.$$

Via the intersection pairing we have a natural isomorphism

$$J_X \rightarrow J_X^\vee,$$

however it will be convenient to keep track of the logical distinction between these two bundles in what follows. In particular, the identity map $L_X^{\mathbb{Z}}(p) \rightarrow L_X^{\mathbb{Z}}(p)$ can be thought of as an element

$$\varpi_p \in (L_X^{\mathbb{Z}}(p))^\vee \otimes L_X^{\mathbb{Z}}(p) \in H^2(J_X(p) \times J_X^\vee(p); \mathbb{Z}).$$

Thus ϖ_p is the first Chern class of a canonical \mathbb{C}^* -bundle \mathcal{P}_p on $J_X(p) \times J_X^\vee(p)$ called the Poincaré bundle.

Now $\mathbb{P}^{sm.} \subseteq \mathbb{P}^{dp.} \subseteq \mathbb{P}$ where $(\mathbb{P}^{dp.} - \mathbb{P}^{sm.})$ is the smooth locus of $(\mathbb{P} - \mathbb{P}^{sm.})$ and consists of those p such that X_p has a single ordinary node. $(\mathbb{P} - \mathbb{P}^{dp.})$ is of

codimension-2 in \mathbb{P} . The bundle J_X extends naturally to a family \hat{J}_X of abelian Lie groups over $\mathbb{P}^{dp.}$ as follows:

- 1) give J_X the standard (Griffiths) complex structure so that \mathcal{L}_X becomes $(F^{2n-1}(\mathcal{L}_X \otimes \mathbb{C}))^\vee$,
- 2) extend $(F^{2n-1}(\mathcal{L}_X \otimes \mathbb{C}))^\vee$ over $(\mathbb{P}^{dp.} - \mathbb{P}^{sm.})$ by using the standard Deligne extension,
- 3) let $\hat{\mathcal{L}}_X$ denote the Deligne extension, considered as a *real* vector bundle,
- 4) take the topological closure $\hat{L}_X^\mathbb{Z}$ of $L_X^\mathbb{Z}$ considered as a real analytic subvariety of the total space $|\hat{\mathcal{L}}_X|$ of $\hat{\mathcal{L}}_X$. (See [S].)

For $p \in (\mathbb{P}^{dp.} - \mathbb{P}^{sm.})$, the fiber $\hat{L}_X^\mathbb{Z}(p)$ is a partial lattice of corank-1 in $\hat{\mathcal{L}}_X(p)$ and one defines

$$\hat{J}_X(p) = \frac{\hat{\mathcal{L}}_X(p)}{\hat{L}_X^\mathbb{Z}(p)}$$

$$\hat{J}_X^\vee(p) = \frac{(\hat{\mathcal{L}}_X(p))^\vee}{(\hat{L}_X^\mathbb{Z}(p))^\vee}.$$

Also for $p \in (\mathbb{P}^{dp.} - \mathbb{P}^{sm.})$ define X'_p as X_p with the node x_0 removed. We have natural isomorphisms

$$H^{2n-1}(X_p, \{x_0\}; \mathbb{Z}) \rightarrow \hat{L}_X^\mathbb{Z}(p)$$

and

$$(\hat{L}_X^\mathbb{Z}(p)) \rightarrow H^{2n-1}(X'_p; \mathbb{Z}).$$

The intersection pairing gives an isomorphism

$$H^{2n-1}(X_p, \{x_0\}; \mathbb{Z}) \rightarrow H^{2n-1}(X'_p; \mathbb{Z})^\vee$$

and so defines an element

$$\varpi_p \in (\hat{L}_X^\mathbb{Z}(p))^\vee \otimes (\hat{L}_X^\mathbb{Z}(p))^\vee = H^2(\hat{J}_X(p) \times \hat{J}_X^\vee(p); \mathbb{Z}).$$

The class ϖ_p extends the family given by ϖ_p at smooth fibers. (See, for example [GK], §5.)

Also $H^2(\mathbb{P}^{dp.}; \mathbb{Z}) = H^2(\mathbb{P}; \mathbb{Z}) = \mathbb{Z}$. And there is a unique \mathbb{C}^* -bundle \mathcal{P} on $\hat{J}_X \times_{\mathbb{P}^{dp.}} \hat{J}_X^\vee$ whose Chern class $c_1(\mathcal{P})$ restricts to ϖ_p on each fiber and whose restriction to the zero-section of $\hat{J}_X \times_{\mathbb{P}^{dp.}} \hat{J}_X^\vee$ is zero. We call \mathcal{P} the *normalized Poincaré bundle*.

3 Topological normal functions

Returning to the map (1) the cohomology group $H^1(\mathbb{P}^{sm.}; L_X^\mathbb{R})$ can be computed using the deRham resolution

$$0 \rightarrow L_X^\mathbb{R} \rightarrow \mathcal{L}_X \xrightarrow{\nabla} \mathcal{A}_{\mathbb{P}^{sm.}}^1 \otimes \mathcal{L}_X \xrightarrow{\nabla} \mathcal{A}_{\mathbb{P}^{sm.}}^2 \otimes \mathcal{L}_X \xrightarrow{\nabla} \dots$$

where $\mathcal{A}_{\mathbb{P}^{sm.}}^i$ is the sheaf of \mathbb{R} -valued i -forms on $\mathbb{P}^{sm.}$ and the differential is given by the Gauss-Manin connection. Thus any element $\eta \in H_{prim.}^{2n}(W; \mathbb{Z})$ gives rise to a closed form $\omega_\eta \in \Gamma(\mathcal{A}_{\mathbb{P}^{sm.}}^1 \otimes \mathcal{L}_X)$ which is well-defined modulo an element of $\nabla(\Gamma \mathcal{L}_X)$. ω_η is given by the Cartan-Lie formula, namely

$$\eta \mapsto \omega_\eta := (\zeta \mapsto \langle \nu(\zeta) | \hat{\eta} \rangle)$$

where $\nu(\zeta) \in N_{X_p \setminus X^{sm.}}$ is any lifting of $\zeta \in T_{\mathbb{P}^{sm.}, p}$ corresponding to a local C^∞ -product structure on $X^{sm.}/\mathbb{P}^{sm.}$ near p . We define an associated *topological normal function* $\alpha_\eta : \mathbb{P}^{sm.} \rightarrow J_X$ by the rule

$$\alpha_\eta(p) = \int_{p_0}^p \omega_\eta \in J_X(p).$$

Lemma 1 *i) Let $B \subseteq \mathbb{P}$ be a smooth complex submanifold with $\dim_{\mathbb{C}} B \leq n$ that meets $(\mathbb{P}^{dp.} - \mathbb{P}^{sm.})$ transversely. Then α_η extends to a section $\hat{\alpha}_\eta : B \cap \mathbb{P}^{dp.} \rightarrow \hat{J}_X \times_{\mathbb{P}} B$.*

ii) Suppose that $\eta \in H_{prim.}^{2n}(W; \mathbb{Z})$ is strongly primitive, that is, $\eta|_D = 0$ for every codimension-1 subvariety $D \subseteq W$. Let $B \subseteq \mathbb{P}$ be a smooth complex submanifold with $\dim_{\mathbb{C}} B \leq 2n$ meeting $(\mathbb{P}^{dp.} - \mathbb{P}^{sm.})$ transversely. Then α_η extends to a section $\hat{\alpha}_\eta : B \cap \mathbb{P}^{dp.} \rightarrow \hat{J}_X \times_{\mathbb{P}} B$.

Proof. *i)* Let $N \subseteq X^{dp.}$ denote the nodal locus. To see that α_η extends over B , we proceed as follows. Since $X^{dp.}$ is smooth and N is of real codimension $4n$, the map

$$H^{2n}(X^{dp.} \times_{\mathbb{P}} B, N \times_{\mathbb{P}} B; \mathbb{Z}) \rightarrow H^{2n}(X^{dp.} \times_{\mathbb{P}} B; \mathbb{Z})$$

is an surjective whenever the complex dimension of $N \times_{\mathbb{P}} B$ is less than n , and an isomorphism whenever its complex dimension is less than $n - 1$. So we can consider the pull-back $\hat{\eta}$ of η to $X^{dp.} \times_{\mathbb{P}} B$ as lifting to an element of $H^{2n}(X^{dp.} \times_{\mathbb{P}} B, N \times_{\mathbb{P}} B; \mathbb{Z})$ represented by a deRham cycle vanishing in a neighborhood of $N \times_{\mathbb{P}} B$. Thus for any $\zeta \in T_{\mathbb{P}^{dp.}, p}$ for p near a nodal hypersurface, lift ζ to a section of the (real) normal and contract it against $\hat{\eta}$. Since $\hat{\eta}$ vanishes along $N \times_{\mathbb{P}} B$, this gives a closed form on $\mathbb{P}^{dp.}$ which extends a realization of ω_η and has the property that for any local section of $T_{\mathbb{P}^{dp.}}$ the corresponding element of $\hat{L}_X^{\mathbb{R}}(p)$ is locally invariant, that is, it lies in the image of

$$H^{2n-1}(X_p, \{x_0\}; \mathbb{R}) \rightarrow \hat{L}_X^{\mathbb{R}}(p). \quad (2)$$

ii) By hypothesis, the image of $N \times_{\mathbb{P}} B$ lies in a codimension-1 subvariety $D \subseteq W$. So again the pull-back $\hat{\eta}$ of η to $X^{dp.} \times_{\mathbb{P}} B$ lifts to an element of $H^{2n}(X^{dp.} \times_{\mathbb{P}} B, N \times_{\mathbb{P}} B; \mathbb{Z})$ represented by a deRham cycle vanishing in a neighborhood of $N \times_{\mathbb{P}} B$. The rest of the proof is as in *i*). ■

We are finally able to state the formula whose proof in the principal goal of this paper.

Theorem 2 Given $\eta', \eta'' \in H_{\text{prim.}}^{2n}(W; \mathbb{Z})$,

$$\int_W \eta' \wedge \eta'' = \langle \varepsilon | c_1(s_{\eta', \eta''}^* \mathcal{P}) \rangle$$

where

$$\begin{aligned} s_{\eta', \eta''} : \mathbb{P}^{dp} &\rightarrow \hat{J}_X \times_{\mathbb{P}^{dp}} \hat{J}_X^\vee \\ p &\mapsto (\hat{\alpha}_{\eta'}, \hat{\alpha}_{\eta''}^\vee) \end{aligned}$$

and ε is a (positively oriented) generator of $H_2(\mathbb{P}^{dp}; \mathbb{Z}) = H_2(\mathbb{P}; \mathbb{Z}) = \mathbb{Z}$.

4 Proof of the theorem

We may assume that ε is given by the linear inclusion of a general projective line into \mathbb{P}^{dp} . That is we reduce to the case of a Lefschetz pencil

$$\chi_B : X_B \rightarrow B$$

with local system L_{X_B} over B^{sm} . Since $X_B \rightarrow W$ is birational

$$\int_W \eta' \wedge \eta'' = \int_{X_B} \hat{\eta}' \wedge \hat{\eta}'' = \int_{X_B^{sm}} \hat{\eta}' \wedge \hat{\eta}''$$

where $\hat{\eta}$ denotes the pull-back of η and $X_B^{sm} = X_B \times_B B^{sm}$. The map associated with the Leray spectral sequence for χ_B^{sm} as in (1) is given by putting a local product structure on X_B and writing

$$\eta \mapsto \omega_\eta := (\zeta \mapsto \langle \nu(\zeta) | \hat{\eta} \rangle)$$

where $\nu(\zeta) \in T_{X_B(p)}$ is the lifting of $\zeta \in T_{B^{sm}, p}$ given by the product structure. Then it is immediate that ω_η considered as an element of $\mathcal{A}_{\mathbb{P}^{sm}}^1 \otimes \mathcal{L}_X$ is independent of the product structure and direct computation shows that $\nabla \omega_\eta = 0$.

Next, following Lefschetz, we pick a basepoint $p_\infty \in B^{sm}$ and draw non-intersecting paths from p_∞ to the points of $B - B^{sm}$. We let $U \subseteq B$ denote the simply connected region comprising the complement of the union of those paths. Then for any $p_0 \in U$,

$$X_U := X_B \times_B U$$

is isomorphic over U to $X_{p_0} \times U$. And by the Fubini theorem

$$\begin{aligned} \int_{X_B^{sm}} \hat{\eta}' \wedge \hat{\eta}'' &= \int_U \hat{\eta}' \wedge \hat{\eta}'' \\ &= \int_U \left(\zeta' \wedge \zeta'' \mapsto \int_{X_p} \langle \nu(\zeta') | \hat{\eta}' \rangle \wedge \langle \nu(\zeta'') | \hat{\eta}'' \rangle \right) \\ &= \int_U \langle \omega_{\eta'} | \omega_{\eta''}^\vee \rangle. \end{aligned} \tag{3}$$

But now choose a symplectic framing $\{\alpha_j, \beta_j\}$ of $L_{X_B}^{\mathbb{Z}}$ over U and write

$$\begin{aligned}\omega_{\eta'} &= \sum_{i=1,2} \left(\sum_j f'_{j,i} \alpha_j + \sum_j g'_{j,i} \beta_j \right) dx_i \\ \omega_{\eta''} &= \sum_{i=1,2} \left(\sum_j f''_{j,i} \alpha_j + \sum_j g''_{j,i} \beta_j \right) dx_i.\end{aligned}$$

The integral in (3) becomes

$$\int_U ((f'_j) (g'_j)) \begin{pmatrix} 0 & I \\ -I & 0 \end{pmatrix} \begin{pmatrix} f''_j \\ g''_j \end{pmatrix} dx_1 dx_2.$$

On the other hand, over U we can write

$$\begin{aligned}\alpha_{\eta'}(p) &= \sum_j \int_{p_0}^p \left(\sum_{i=1,2} f'_{j,i} dx_i \right) \alpha_j + \sum_j \left(\sum_{i=1,2} g'_{j,i} dx_i \right) \beta_j \\ \alpha_{\eta''}(p) &= \sum_j \int_{p_0}^p \left(\sum_{i=1,2} f''_{j,i} dx_i \right) \alpha_j + \sum_j \left(\sum_{i=1,2} g''_{j,i} dx_i \right) \beta_j\end{aligned}$$

and notice that the isomorphism $J_X \rightarrow J_X^{\vee}$ is given, in terms of the above framing, exactly by multiplication by the matrix $\begin{pmatrix} 0 & I \\ -I & 0 \end{pmatrix}$. So $c_1(\mathcal{P})$ is computed on the graph

$$(\alpha_{\eta'}, \alpha_{\eta''}^{\vee})$$

by the integral

$$\int_U d\alpha_{\eta'} \begin{pmatrix} 0 & I \\ -I & 0 \end{pmatrix} d\alpha_{\eta''}.$$

5 Relationship with classical normal functions

Suppose now that $\eta \in H^{2n}(W; \mathbb{Z}) \cap F^{2n-k} H^{2n}(W; \mathbb{C})$. Since $L_X^{\mathbb{C}}$ is the sheaf of flat sections of a holomorphic vector bundle \mathcal{L}_X , we have the resolution of $L_X^{\mathbb{C}} \rightarrow \mathcal{L}_X^{\mathbb{C}}$ and a morphism of complexes of fine sheaves

$$\begin{array}{ccccccc} \mathcal{A}^0(\mathbb{R}_X) & \xrightarrow{\nabla} & \mathcal{A}^1(L_X^{\mathbb{R}}) & \xrightarrow{\nabla} & \mathcal{A}^2(L_X^{\mathbb{R}}) & \xrightarrow{\nabla} & \dots \\ \downarrow & & \downarrow & & \downarrow & & \\ \mathcal{A}^{0,0}((F^k \mathcal{L}_X^{\mathbb{C}})^{\vee}) & \xrightarrow{\nabla^{0,1}} & \mathcal{A}^{0,1}((F^k \mathcal{L}_X^{\mathbb{C}})^{\vee}) & \xrightarrow{\nabla^{0,1}} & \mathcal{A}^{0,2}((F^k \mathcal{L}_X^{\mathbb{C}})^{\vee}) & \xrightarrow{\nabla^{0,1}} & \dots \end{array} \quad (4)$$

where $F^* \mathcal{L}_X^{\mathbb{C}}$ denotes the Hodge filtration and the mapping

$$L_X^{\mathbb{R}} \rightarrow (F^k \mathcal{L}_X^{\mathbb{C}})^{\vee}$$

is given by the intersection pairing. We let $L_{X, \text{van.}}^{\mathbb{Q}} \subseteq L_X^{\mathbb{Q}}$ denote the sub-local-system whose fiber is the image of the residue map $H^{2n}(W - X_p) \rightarrow H^{2n-1}(X_p)$, $L_W^{\mathbb{Q}}$ the (trivial) local system with fiber $H_{\text{prim.}}^{2n}(W; \mathbb{Q})$, and $L_{W|X}^{\mathbb{Q}}$

the local system with fiber $H^{2n}(W, X_p; \mathbb{Q})$. Thus η can be considered as an element of the Deligne cohomology group

$$\mathbb{H}^0 \left(L_X^{\mathbb{Q}} \rightarrow (F^{k+1} \mathcal{L}_W^{\mathbb{C}})^{\vee} \right).$$

Let $L_{X, \text{van.}}^{\mathbb{Q}}$ denote the vanishing cohomology. Then, under the connection homomorphism associated to the short exact sequence of complexes

$$\begin{array}{ccccc} L_{X, \text{van.}}^{\mathbb{Q}} & \rightarrow & L_{W|X}^{\mathbb{Q}} & \rightarrow & L_W^{\mathbb{Q}} \\ \downarrow & & \downarrow & & \downarrow \\ (F^k \mathcal{L}_{X, \text{van.}}^{\mathbb{C}})^{\vee} & \rightarrow & (F^{k+1} \mathcal{L}_{W|X}^{\mathbb{C}})^{\vee} & \rightarrow & (F^{k+1} \mathcal{L}_W^{\mathbb{C}})^{\vee} \end{array}$$

and the inclusion map

$$\begin{array}{ccc} L_{X, \text{van.}}^{\mathbb{Q}} & \rightarrow & L_X^{\mathbb{Q}} \\ \downarrow & & \downarrow \\ (F^k \mathcal{L}_{X, \text{van.}}^{\mathbb{C}})^{\vee} & \rightarrow & (F^k \mathcal{L}_X^{\mathbb{C}})^{\vee}, \end{array}$$

η induces an element $\{\omega_{\eta}^k\}$ of the Deligne cohomology group

$$\mathbb{H}^1 \left(L_X^{\mathbb{Q}} \rightarrow (F^k \mathcal{L}_X^{\mathbb{C}})^{\vee} \right)$$

which maps to $\{\omega_{\eta}\}$ under the natural map

$$\mathbb{H}^1 \left(L_X^{\mathbb{Q}} \rightarrow (F^k \mathcal{L}_X^{\mathbb{C}})^{\vee} \right) \rightarrow H^1 \left(L_X^{\mathbb{Q}} \right).$$

Via the resolutions (4) therefore, $\{\omega_{\eta}^k\}$ can be represented by a form ω_{η}^k which maps to zero in $\Gamma \mathcal{A}^{0,1} \left((F^k \mathcal{L}_X)^{\vee} \right)$, that is, by a holomorphic one-form with coefficients in $(F^k \mathcal{L}_X^{\mathbb{C}})^{\vee}$. Picking a basepoint $p_0 \in \mathbb{P}^{sm}$, we define a *k-normal function* as the multi-valued function

$$\nu_{\eta}^k(p) := \int_{p_0}^p \omega_{\eta}^k \in \left| (F^k \mathcal{L}_X^{\mathbb{C}})^{\vee} \right|. \quad (5)$$

The classical normal function is simply an *n*-normal function (with logarithmic growth at $(\mathbb{P}^{dp} - \mathbb{P}^{sm})$).

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